

# Introduction to Communicating Sequential Process (CSP) (Lecture 1)

Mannheim, September 2007

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# Administration

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# Administration

- Classes:
  - Theory (11 classes)
  - Exercises and tools (5 classes)
  - Projects (6 classes)
  - Theroretical studies (2 classes)
  - Seminars (5 classes – project + theoretical studies)
  - Written examination (1 class)

# Administration

- Examinations:
  - Written examination (last class) - 30%
  - Project – 30%
  - Report about theoretical study – 20%
  - Seminars – 20%
- Room: 1/212
- Laboratory: 1/010

# Administration

## References

1. C. A. R Hoare, *Communicating Sequential Processes*, Prentice Hall, 1985.
2. Steve Schneider, *Concurrent and Real-time Systems - The CSP Approach*, John Wiley & Sons, 2000.
3. A. W. Roscoe, *The Theory and Practice of Concurrency*, Pearson Education, 1997.
4. Classes notes of Dr. Jeff Sanders, Oxford University, 2004.
5. Classes notes of Dr. Alexandre Mota, UFPE, 2005.

# Administration

- Tools

- FDR and ProBE

- <http://www.fsel.com/>

- JCSP

- <http://www.cs.kent.ac.uk/projects/ofa/jcsp/>

- <http://www.ibm.com/developerworks/java/library/j-csp1.html>

- Useful links

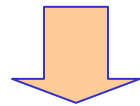
- <http://www.comlab.ox.ac.uk/oucl/publications/books/concurrency>

# The Issues of Concurrency

- What is the study of concurrency?
  - Express and reason about systems of concurrent processes
  - Express: specify, design and implement
  - Reason: modify, compare, develop and verify
  - Concurrent systems: multiprocessors, operating systems, networks, reactive processes, etc...

# The Issues of Concurrency

- The study of concurrence requires:
  - Formal notation with
    - large expressive power
    - laws for manipulating design
    - formal semantics
    - tools

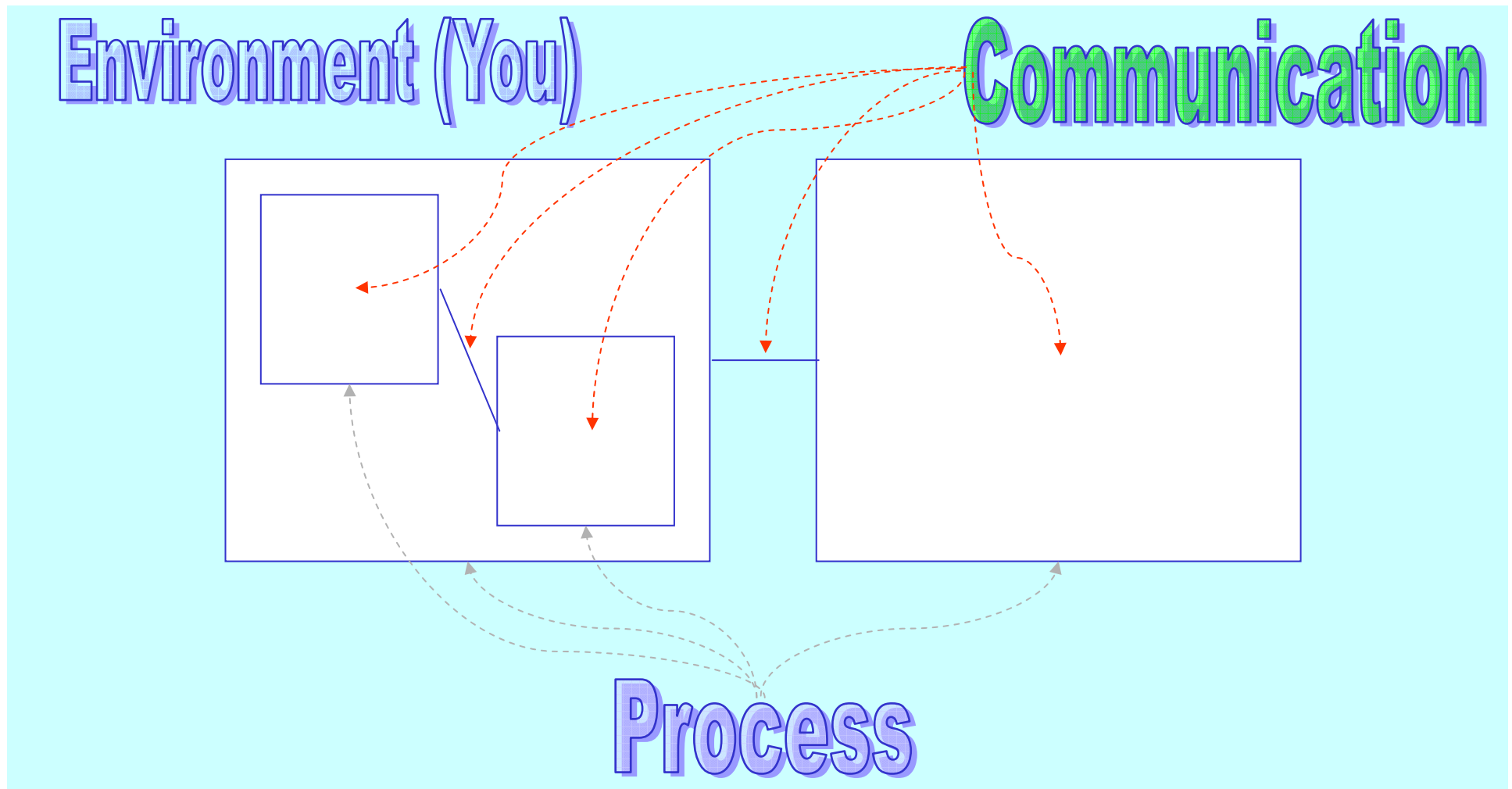


CSP

# CSP

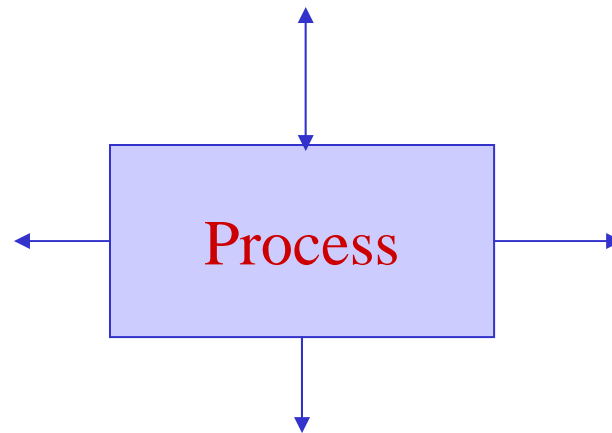
- Conceived to specify and reason about concurrent systems whose **component processes** interact with each other by **communication**.

# Process



# Process

- Independent entities, self-contained (black box), with particular interfaces used to interact with the environment (which is itself a process!)

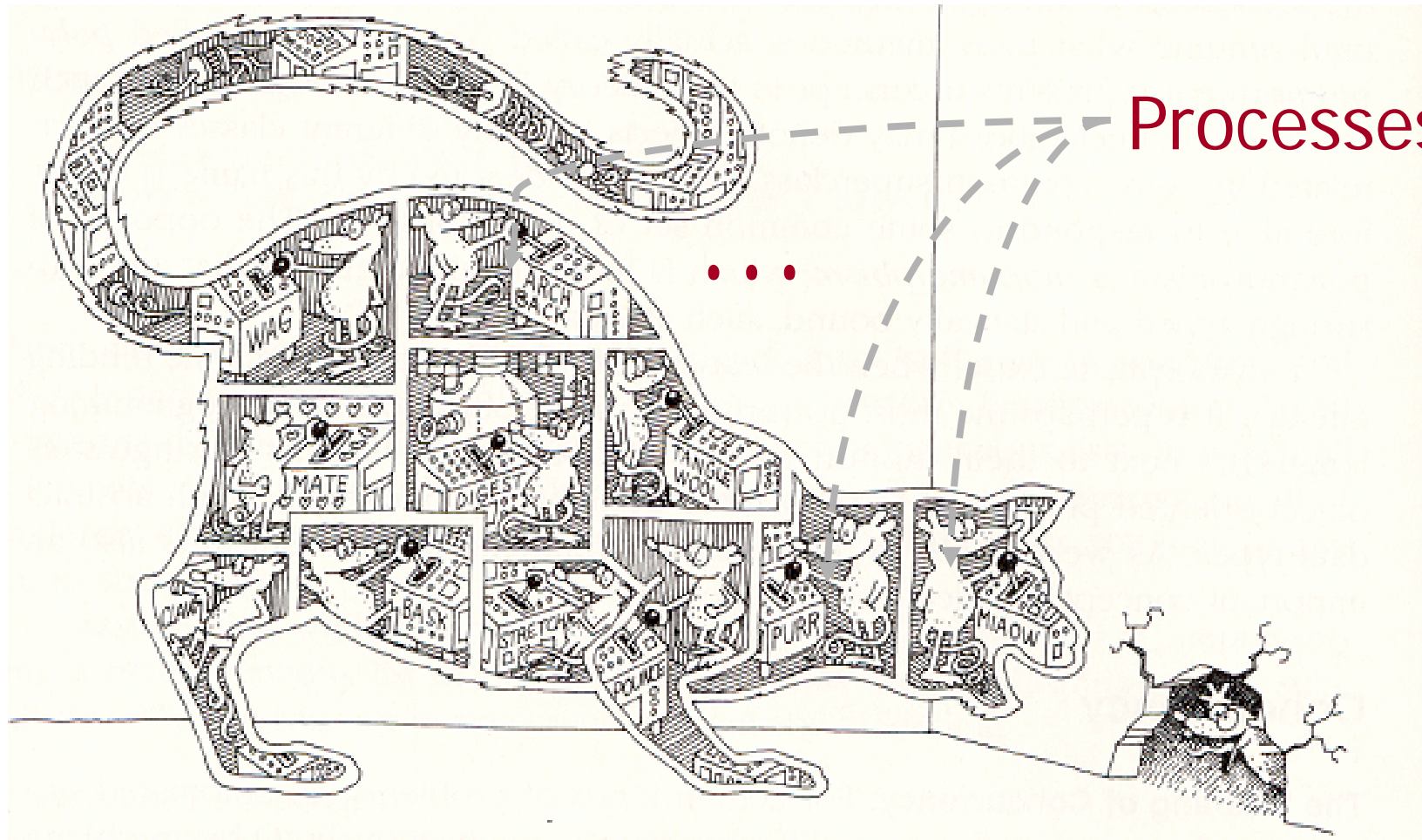


- In any run, a process performs a sequence of events.

# Process

- Basic unit to capture behaviour.
- In general, we use a set of processes to get modularity.
- It is defined by equation(s)
  - $P = (\text{behaviour})$ 
    - Similar to functional programming [although it is not a function!!!]
- Process names denote interesting system states/modules.

# Process



# Communication

- Term *communication* comes from the notion of interaction/observation/synchronisation
- It occurs between at least two parts [**Which are?**]
- A sequence of communications tells us a history (possible behaviour of a system → trace)
- A communication can be:
  - Event (no data communication → synchronisation)
  - Channel (a typed value is communicated)



# Theory of Concurrency

- How is a theory of concurrency employed to implement a system?
  - Systems are decomposed into concurrently evolving processes.
  - Each process is treated as a system (and may be also decomposed into smaller systems)
- Any theory must handle:
  - the incremental approach: be **compositional**
  - change in level of abstraction: be **hierarchical**

# Theory of Concurrency

- A main feature of concurrent systems is non determinism
  - Consequence of abstraction
  - A system is nondeterministic if it can exhibit different behaviors when given exactly the same inputs
  - An implementation is deterministic
  - In the development of a system, when passing to a less abstract description implies in removing nondeterminism
  - development  $\Rightarrow$  *refinement*

# Theory of Concurrency

- Above nondeterminism any theory of concurrency must be able to model
  - Deadlock
    - No component can make any progress
  - Livelock
    - System performs infinite internal actions and does not communicate with the environment anymore

# An overview of CSP

- CSP offers a succinct notation for processes and a way of controlling the level of abstraction.
- Provides basic operations
  - parallelism  $\parallel$
  - choice  $\sqcap$ ,  $\sqcup$ ,  $\triangleleft$   $\triangleright$
  - communication  $!$   $?$
  - abstraction  $\backslash$

# CSP

- Provides derived operations (*piping* ( $>>$ ), *interleaving* ( $\|\|$ ))
- Provides laws for those operations
- Semantics in layers ...traces...refusals...divergences...
- Tools

# Processes

- What to specify? What is relevant to consider? How to do this?
- Processes interact among them through interfaces
- We need to abstract the internal actions and to focus on the interface: external actions
- Interaction / Communication
- The interface of a process is captured by a set of events

# Events, Alphabets and Processes

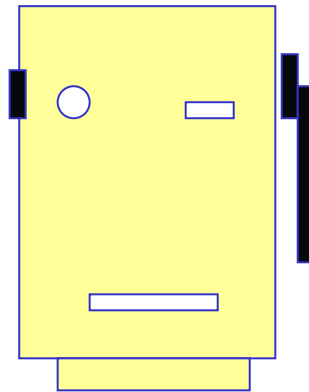
- Modelling requires a level of abstraction.
- When modelling a process we observe its *events*.
- Events are atomic and instantaneous.
- A set of events forms the:
  - *alphabet* of the process being observed;
  - the *universe* under observation for several processes.
- *Alphabet*:  $\alpha P$
- *Universe* of a system:  $\Sigma$

# Processes

- A process exhibits a pattern of behaviour in which it offers certain events for synchronisation with its environment.
- Each event forms an interaction between the process and its environment.
- If the interaction does not occur the process blocks.

# Processes

Example: Simple vending machine (*VMS*)



Events: *coin* and *choc*

Alphabet:  $\alpha VMS = \{coin, choc\}$

Are there any alternatives?

# Alphabets: Exercises

- A machine that accepts a 5p coins and returns the same amount in 1p and 2p coins.
- An alarm that emits beeps indefinitely.
- A board that only accepts up and down movements .

# Alphabets: Exercises

- A machine that accepts a 5p coins and returns the same amount in 1p and 2p coins.

*$\alpha Chh: \{in5, out1, out2\}$*

- An alarm that emits beeps indefinitely.

*$\alpha Alarm: \{beep\}$*

- A board that only accepts up and right movements.

*$\alpha Board: \{up, right\}$*

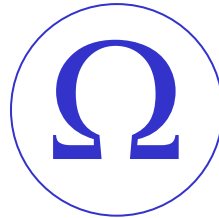
# Processes

## *STOP*

- Process that:
  - does not offer any event for communication;
  - performs no event, blocking them all.
- Represents a system (process)
  - in *deadlock*, or
  - Broken
- Terminal process

# Processes

*STOP*



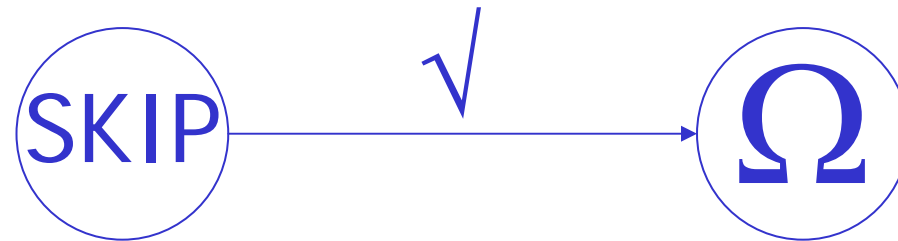
# Processes

## *SKIP*

- Process that does nothing and ends successfully.
- Terminal process
- It only communicates a special event ( $\surd$ )
- After that, no communication and progress is possible

# Processes

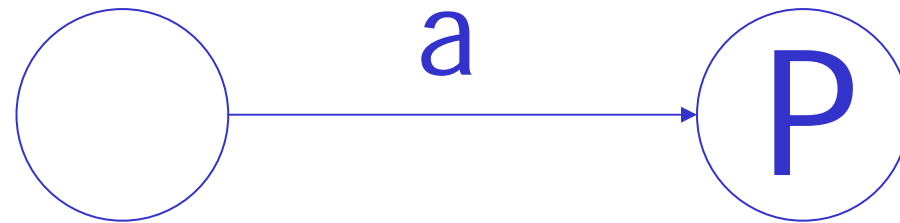
*SKIP*



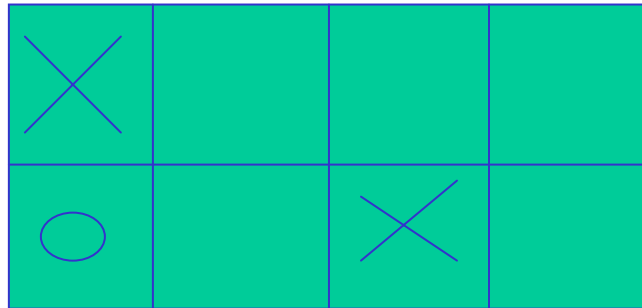
# Prefix

- Most basic construct to model behaviour:  
 $a \rightarrow P$  ( $a$  is a *prefix* of  $P$ ),  
given an event  $a$  and a process  $P$ .
- Offer an event  $a$  and wait, indefinitely, until a communication happens.
- After the communication, behaves like  $P$ .
- A process description that begins with a prefix is said to be *guarded*.

Prefix ( $a \rightarrow P$ )



# Example



*$\alpha$ Board: {up, right}*

*Board: right -> up -> right -> right -> STOP*

# Processes: common errors

- For processes  $P$  and  $Q$ ,

$$P \rightarrow Q$$

is **wrong**. Use sequential composition  $P;Q$ .

- For events  $a$  and  $b$ ,

$$a \rightarrow b$$

is **wrong**. Use terminating process  $STOP$  or  $SKIP$  to write

$$a \rightarrow b \rightarrow STOP \text{ or } a \rightarrow b \rightarrow SKIP$$

depending on whether we wish to model unsuccessful or successful termination.

# Processes: Exercises

1. A vending machine that accepts a coin and breaks.
2. A chocolate vending machine that serves two customers and breaks.

# Processes: Exercises

1. A vending machine that accepts a coin and breaks.

$$(coin \rightarrow STOP)$$

2. A chocolate vending machine that serves two customers and breaks.

$$(coin \rightarrow (choc \rightarrow (coin \rightarrow (choc \rightarrow STOP))))$$

# Prefix: Precedence

- Excepting for the renaming operator, prefix has the highest precedence among CSP operators; it is right associative.

$$\textit{coin} \rightarrow (\textit{choc} \rightarrow \textit{STOP}) = \textit{coin} \rightarrow \textit{choc} \rightarrow \textit{STOP}$$

# A Complete Specification

- It is a combination of
  - Alphabet declarations
  - Function and set definitions
    - $Odd(n) = (n \% 2 == 1)$
    - $T = \{0, 1, 2\}$
  - And process definitions
    - $P = up \rightarrow down \rightarrow up \rightarrow down \rightarrow STOP$
- And, in general, following this ordering

# Continuous Behaviour

- Various systems have a series of repetitive behaviour
- And these repetitions can be infinite
- Infinite behaviour becomes **recursion**
  - $P = (\textit{sequence of communications}) \rightarrow P$
- Right-side process name is replaced by a left-side process definition

# Recursion

Examples:

- A vending machine that ever function

$$V = \textit{coin} \rightarrow \textit{choc} \rightarrow \textit{coin} \rightarrow \textit{choc} \rightarrow \dots$$
$$V = \textit{coin} \rightarrow \textit{choc} \rightarrow V$$

- A student who snores indefinitely:

$$\alpha\textit{Student} = \{\textit{snore}\}$$
$$\textit{Student} = \textit{snore} \rightarrow \textit{Student}$$

# Recursion Notation

- For guarded function  $F$  on processes, the solution to the recursive equation

$$X = F X$$

over alphabet  $A$  is written

$$\mu X : A \bullet F X.$$

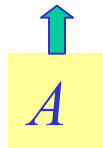
- Guarded means that  $F X$  always starts with some event. E.g.

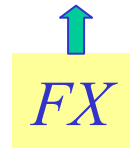
$$F X = \text{snore} \rightarrow X.$$

# Recursion Notation: Examples

- For a process  $X$  with alphabet  $\{snore\}$  and  $FX = snore \rightarrow X$

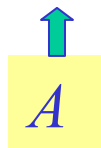
$Student = \mu X: \{snore\}. (snore \rightarrow X).$

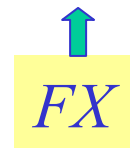
  
 $A$

  
 $FX$

- For a process  $X$  with alphabet  $\{coin, choc\}$  and  $FX = coin \rightarrow choc \rightarrow X$

$VMS = \mu X: \{coin, choc\}. (coin \rightarrow choc \rightarrow X).$

  
 $A$

  
 $FX$

# Recursion Notation: Exercises

1. Specify a clock that does anything but tick.

# Recursion Notation: Exercises

1. Specify a clock that does anything but tick.

$$\alpha\text{CLOCK} = \{tick\}$$

$$\text{CLOCK} = \mu X: \{tick\}. (tick \rightarrow X)$$

Equivalent to:

$$\text{CLOCK} = (tick \rightarrow \text{CLOCK})$$

$$= (tick \rightarrow (tick \rightarrow \text{CLOCK})) \textit{ by substitution}$$

$$= (tick \rightarrow tick \rightarrow tick \rightarrow \text{CLOCK}) \textit{ by substitution}$$

$$= \dots = tick \rightarrow tick \rightarrow tick \rightarrow tick \dots$$

# Recursion Notation: Exercises

2. A change machine which inputs *in5* and outputs change *out2* and *out1* in a fixed order.

# Recursion Notation: Exercises

2. A change machine which inputs *in5* and outputs change *out2* and *out1* in a fixed order.

$$\alpha Ch = \{in5, out1, out2\}$$

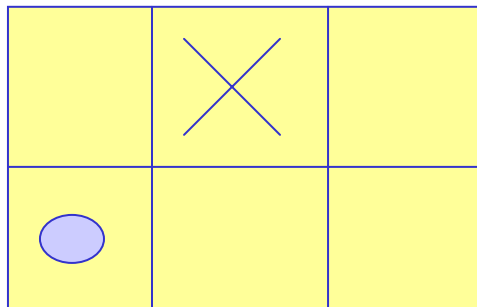
$$Ch = in5 \rightarrow out2 \rightarrow out1 \rightarrow out1 \rightarrow out1 \rightarrow Ch$$

$$Ch = \mu X : \{in5, out1, out2\} \cdot (in5 \rightarrow out2 \rightarrow out1 \rightarrow out1 \rightarrow out1 \rightarrow X).$$

# Menu choice

- A process may offer its environment a **choice** of events.
- *Example:  $\alpha\text{Board} = \{\text{up}, \text{right}\}$*

*Board = ( up -> STOP  
| right-> right -> up -> STOP)*



# Menu choice

- If  $a$  and  $b$  are distinct events,  $a \neq b$ , and  $P$  and  $Q$  are processes then

$$(a \rightarrow P \\ | b \rightarrow Q)$$

is the process which offers its environment a choice of events  $a$  or  $b$  in which case it subsequently behaves like  $P$  or  $Q$ , respectively.

# Menu choice

- Can be generalized to:

$$(a_1 \rightarrow P_1 \mid \dots \mid a_n \rightarrow P_n)$$

given **diferent** events  $a_1, \dots, a_n$ , and processes  $P_1, \dots, P_n$

- The choice symbol is not an operator between processes. Thus,

$P \mid Q$  is wrong (syntax)

$(x \rightarrow P) \mid (x \rightarrow Q)$  is wrong (syntax)

$(x \rightarrow P \mid y \rightarrow Q \mid z \rightarrow R) \neq (x \rightarrow P \mid (y \rightarrow Q \mid z \rightarrow R))$

↑  
A single operator with  
three arguments

↑  
Wrong (syntax)

↑  
A single process

# Menu choice: Example

- A more useful change machine offers the user a choice of changes

$$\alpha Chh = \{in5, out1, out2\}$$

$$Chh = in5 \rightarrow (out2 \rightarrow out1 \rightarrow out1 \rightarrow out1 \rightarrow Chh \\ | out1 \rightarrow out2 \rightarrow out2 \rightarrow Chh).$$

**Distinct** events for a menu choice.

# Menu choice: General Case Notation

The process which offers its environment a choice of an event in  $A$

$$?x : A \rightarrow P(x).$$

Scope of variable  $x$  .

- Cases:

$A = \{\}$ : deadlock, *STOP*

$A = \{a\}$ : prefix  $a \rightarrow P$

$A = \{a,b\}$ : menu choice ( $a \rightarrow P(a)$   
|  $b \rightarrow P(b)$ )

$A = \{a_1, a_2, \dots, a_n\}$ : general choice ( $a_1 \rightarrow P(a_1)$   
|  $a_2 \rightarrow P(a_2)$  | ...  
|  $a_n \rightarrow P(a_n)$  )

# Menu Choice: Exercises

1. A machine that serves either chocolate or toffee on each transaction.

# Menu Choice: Exercises

1. A machine that serves either chocolate or toffee on each transaction.

$$VM2 = \mu X : \{coin, choc, toffee\} \cdot \\ coin \rightarrow (choc \rightarrow X \mid toffee \rightarrow X)$$

# Menu Choice: Exercises

1. A machine that allows its customer to sample a chocolate and trusts him to pay after. The normal sequence of events is also allowed.

# Menu Choice: Exercises

1. A machine that allows its customer to sample a chocolate and trusts him to pay after. The normal sequence of events is also allowed.

$$VM3 = \mu X : \{coin, choc\} \cdot (coin \rightarrow choc \rightarrow X \\ | choc \rightarrow coin \rightarrow X)$$

# Mutual Recursion

Several equations define the process behaviour

Example:

$$P_1 = up \rightarrow down \rightarrow P_1$$

can be defined by:

$$P_u = up \rightarrow P_d$$

$$P_d = down \rightarrow P_u$$

# Mutual recursion

A rocket when in the air may be guided up or down;  
when on the ground it may be guided around.

$$\alpha\text{Rocket} = \{up, down, around\}$$

$$\text{Rocket} = R_0 = (up \rightarrow R_1 \mid around \rightarrow \text{Rocket})$$

$$R_{n+1} = (up \rightarrow R_{n+2} \mid down \rightarrow R_n)$$

# Mutual Recursion

$\alpha C = \{up, down, left, right\}$

$C = (up \rightarrow D \mid left \rightarrow A \mid right \rightarrow E)$

$D = (down \rightarrow C \mid left \rightarrow B \mid right \rightarrow F)$

$E = (up \rightarrow F \mid left \rightarrow C)$

$F = (down \rightarrow E \mid left \rightarrow D)$

$A = (up \rightarrow B \mid right \rightarrow C)$

$B = (down \rightarrow A \mid right \rightarrow D)$

B	D	F
A	●	E

# Mutual Recursion: Exercises

1. The process *LIGHT* may be defined in terms of process *ON*, which is itself defined in terms of process *LIGHT*. The events are *on* and *off*.

# Mutual Recursion: Exercises

1. The process *LIGHT* may be defined in terms of process *ON*, which is itself defined in terms of process *LIGHT*. The events are *on* and *off*.

*LIGHT* = *on* → *ON*

*ON* = *off* → *LIGHT*

# Mutual Recursion: Exercises

2. A counter can be incremented (*up*) or decremented (*down*) at any point, provided the total number of decremented events does not exceed the number of increment events.

# Mutual Recursion: Exercises

2. A counter can be incremented (*up*) or decremented (*down*) at any point, provided the total number of decremented events does not exceed the number of increment events.

$$COUNT_0 = up \rightarrow COUNT_1$$

$$COUNT_n = ( \begin{array}{l} up \rightarrow COUNT_{n+1} \\ | \text{down} \rightarrow COUNT_{n-1} \end{array} ) \quad (n > 0)$$

# Communication Events

- The event consisting of communication of value  $v$  on channel  $c$  is written  $c.v$ . Usually a communication event results from an input and output occurring in parallel.
- Output event:  $c ! e$
- Input event:  $c ? x$
- Example:

$Copy = in ? x \rightarrow out ! x \rightarrow Copy$

# Communication Events

- The set of all events communicated along channel  $c$  is denoted  $\{|c|\}$ .
- Example:
  - If process *Copy* has channel *in* of integer type then
$$\{|in|\} = \{in.z \mid z \in \mathbb{Z}\}$$

# Communication Events

An accumulator is used to keep track of running totals of sequences of numbers. It has a *reset* event, a *query* channel on which the current total can be output, and an *add* channel where it is possible to add another number.

$Accumulator = R_0$

$R_i = (reset \rightarrow R_0 \mid query \ ! \ i \rightarrow R_i \mid add?x:N \rightarrow R_{i+x})$

# Events vs Channels

- They are conceptually distinct
- But, in practice, a channel is indeed a set of events
- Thus, the channel  $a:\{0,1\}$  is the set of events  $\{a.0, a.1\}$ 
  - Note the use of the  $.$  operator as a separator
  - Channels simply have a more elegant and readable presentation than events

# Communication Events: Exercises

1. A process that duplicates its input data.
2. A process that given two natural numbers, outputs their product.

# Communication Events: Exercises

1. A process that duplicates its input data.

*Dup = in ?x -> out ! x -> out ! x -> Dup*

2. A process that given two natural numbers, outputs their product.

*Prod = in ? x:N -> in ? y:N -> out ! (x\*y) -> STOP*